

1 **ABSTRACT**

2 **The Surgical Patients' Pressure Injury Incidence (SPPII) study: a cohort study of**  
3 **surgical patients and processes of care**

4 **Background:** Surgical patients are at high risk of developing pressure injuries (PIs) due to  
5 anaesthesia induced immobility as well as risk factors such as length of surgery and  
6 comorbidities. Few Australian studies have investigated the incidence of PIs in surgical  
7 patients. This prospective cohort study assessed the incidence of post-surgical PIs and  
8 identified gaps in pressure injury prevention (PIP) for elective surgical patients.

9 **Methods:** Consecutive elective surgery patients at an urban tertiary referral hospital were  
10 recruited who had an expected length of stay of >48hours. Baseline PI risk (measured by the  
11 Waterlow scale) and PIP strategies implemented at five time points were collected from  
12 medical records. Two prospective outcome assessments were conducted at 24 and 48 hours  
13 post operatively. Data were analysed descriptively.

14 **Results:** One patient out of 150 (incidence rate 0.7) developed an intra-operative Stage 1 PI.  
15 Four patients developed skin tears. PIP strategies were applied inconsistently throughout the  
16 patient journey regardless of risk status.

17 **Conclusions:** While the incidence of surgically acquired PIs in this study was low, ongoing  
18 staff education is needed about the importance of consistent skin and risk assessments and of  
19 implementing strategies appropriate for level of pressure injury risk.

20

21 **The Surgical Patients' Pressure Injury Incidence (SPPII) study: a cohort study of**  
22 **surgical patients and processes of care**

23 **What is already known:**

- 24 • Pressure injuries (PIs) are widely considered to be an adverse event of hospitalisation  
25 and are largely preventable.
- 26 • Surgical patients are at risk of developing a pressure injury primarily due to  
27 immobilisation following anaesthesia, length of surgery and comorbidities.
- 28 • There are few studies on pressure injury incidence and prevention strategies used in  
29 the post-operative period.

30

31 **What this manuscript contributes:**

32 Although the incidence of post-surgical PIs among elective surgical patients was low, there  
33 are gaps in pressure injury prevention for this group of patients, including for those deemed at  
34 high risk of pressure injury. There is a need for clinicians to improve documentation of risk  
35 assessment and strategies implemented to reduce risk of PI, throughout the surgical patient  
36 journey

37

38 **INTRODUCTION**

39 Each year, over 2 million surgeries are performed in Australia<sup>1</sup> during which, the patient is  
40 anaesthetised, immobilised and unable to perceive or voice pain and discomfort from  
41 unrelieved pressure to the surgical team<sup>2</sup>. These factors may lead to the development of a  
42 pressure injury (PI)<sup>2-4</sup>. Pressure injuries (PIs), also known as pressure sores, bed sores and  
43 decubitus ulcers are defined by the National Pressure Ulcer Advisory Panel as a "localized  
44 injury to the skin and/or underlying tissue usually over a bony prominence or related to a  
45 medical or other device"<sup>5</sup>. The financial impact of PIs for hospitals and health systems is

46 significant, with the annual costs of medical treatment and extended hospitalisations  
47 estimated to be between £1.8 and £2.6 billion in the United Kingdom<sup>6</sup> and US\$11 billion in  
48 the United States (US)<sup>7</sup>. In Australia, the treatment costs of PIs have been estimated to be  
49 AU\$983 million per annum, representing approximately 1.9% of all public hospital  
50 expenditure<sup>8</sup>.

51

52 Surgical patients have been identified as at elevated risk for PI development<sup>9</sup>. PI development  
53 can occur between the first hour and 4-6 hours following sustained pressure<sup>10</sup>. Therefore,  
54 surgeries that are longer than 4 hours have been shown to increase the chance of PI  
55 development<sup>11-13</sup>. Development of surgery-related PI may result in reduced quality of life<sup>14,15</sup>,  
56 decreased mobility, increased pain, prolonged hospital stay, re-admission and negative  
57 psychological consequences<sup>16,17</sup>. Furthermore, hospital-acquired PIs (HAPIs), including  
58 surgery-related PIs, are regarded as a key performance indicator of the quality of care  
59 provided by health facilities, particularly of nursing care<sup>18,19</sup>. In Australia, the National Safety  
60 and Quality Health Service Standard 8 requires health service organisations to implement  
61 evidence-based systems and guidelines to prevent and manage PIs<sup>20</sup>. The classification of  
62 HAPIs as *never events* (US) or *adverse events* (Australia) and the introduction of non-  
63 payment or financial penalties for HAPIs have placed PIs as a priority for health services. In  
64 the US, Medicare introduced non-payment for hospital-acquired conditions including PIs in  
65 2008, whilst financial penalties were introduced more latterly (2013) in the State of  
66 Queensland, Australia<sup>17, 21-23</sup>. The Australian Commission on Safety and Quality in Health  
67 Care (ACSQHC) has developed a national list of 16 hospital acquired complications (HAC)  
68 which includes pressure injuries, and developed a range of resources to support adoption of  
69 the HAC list<sup>24</sup>. Depending on the practice setting, the reported incidence and prevalence of  
70 HAPIs ranges from 0.0% to 72.5%<sup>7</sup>. For surgery-related PIs, the incidence varies, ranging

71 from 1.3% to 66% depending on the study population, the type of surgery and duration of the  
72 surgical procedure<sup>13, 23, 25-27</sup>. Evidence from a recent systematic review of 17 studies found  
73 the pooled incidence of surgery-related PIs was 0.15 (95% CI 0.14-0.16; range 0.003-0.574)  
74 <sup>28</sup>. Of note, none of the included studies were conducted in Australia, highlighting limited  
75 research in this area. Indeed, a prospective cohort study at a single-site investigating the  
76 incidence of HAPIs remains one of the few studies investigating surgery-related PI incidence  
77 in Australia<sup>23</sup>. Therefore, our knowledge of PI incidence is predominantly based on studies  
78 conducted in other countries and may not be indicative of the true incidence in Australian  
79 surgical patients.

80

81 Pressure injury prevention (PIP) is a global quality of care indicator and there are national  
82 and international evidence-based clinical guidelines to inform this area of nursing practice<sup>29</sup>.  
83 Conducting risk and skin assessments, coupled with attention to positioning, protecting and  
84 padding pressure sensitive and vulnerable areas are primary strategies in PIP for surgical  
85 patients<sup>30-32</sup> are standard PIP processes of care and have the potential to reduce PI  
86 incidence<sup>33</sup>. However, there are only few studies that provide information on PIP processes of  
87 care in relation to surgical patients<sup>31,34</sup>. Furthermore, these studies are limited as they do not  
88 evaluate PIP strategies for at risk patients and there is a need to identify if evidence-based PIP  
89 processes of care for surgical patients occurs consistently throughout the entire surgical  
90 patient journey, including the pre-operative, peri-operative and post-operative phases. This  
91 study therefore aimed to determine the incidence of HAPIs among elective surgical patients  
92 and to describe the extent to which PIP processes of care were documented as adhered to  
93 throughout the surgical patient journey.

94

95 **METHODS**

96 **Design**

97 A one-sample prospective cohort study design.

98

99 **Setting**

100 This study was conducted in a large public, (402 beds) metropolitan, tertiary referral hospital  
101 in Sydney, Australia between July 2015 and March 2016.

102

103 **Patients**

104 *Eligibility criteria*

105 Patients were eligible for inclusion if they were greater than 18 years of age, scheduled for an  
106 elective surgical procedure and had an expected 48-hour minimum hospital stay following  
107 surgery. This inclusion criterion reflects findings from other studies which suggest that PIs  
108 may take up to 48 hours to appear after relief from periods of pressure, friction or  
109 shearing<sup>13,35</sup>. Patients were excluded from the study if they were admitted for emergency  
110 surgery, admitted for elective surgery through the emergency department, or admitted into  
111 hospital a day or more prior to their elective surgery. These groups were excluded because of  
112 the uncertainty about how long they may have been immobile before their transfer to the  
113 operating suite.

114

115 *Recruitment*

116 Patients were recruited to the study if they met the inclusion criteria and attended the pre-  
117 admission clinic prior to surgery. Pre-admission patient lists provided by the admissions unit  
118 were used to identify those patients with an expected length of stay (LOS) of >48 hours.  
119 Using a non-probability sampling method, those patients who met the criteria were  
120 approached by a nurse research assistant (RA) in the pre-admission clinic. Patients were

121 given verbal and printed information about the study, and if agreeable, signed their consent.  
122 If a patient declined to participate in the study, or was expected to have stay of < 48 hours,  
123 the next eligible patient was approached.

124

#### 125 **Data collection and outcome assessment**

126 The following information was collected from patients' medical records using a standardised  
127 data collection form: demographics, patient's history of PIs in the previous 12 months,  
128 comorbidities, length of time in surgery, total time in operating theatre and time in recovery,  
129 type of surgery, American Society of Anaesthesiologists (ASA) score, patient transfer  
130 method to and from the operating table, patient position and positioning devices and PI  
131 prevention strategies implemented pre, intra and post-operatively.

132

133 Prior to the study commencement, RAs received training in the use of the data collection tool,  
134 the use of the Waterlow scale and the observation and classification, or staging, of PIs  
135 according to the European Pressure Ulcer Advisory Panel and National Pressure Ulcer  
136 Advisory Panel Classification System<sup>36</sup>. Inter-tester reliability was 92% which is considered  
137 almost perfect agreement<sup>37</sup>.

138

139 Skin assessments were recorded at five time-points. The first three skin assessments were  
140 conducted before, during and after surgery and documented as part of the hospital's standard  
141 of care in patients' medical records. At 24 hours and 48 hours post operatively two additional  
142 skin assessments were undertaken by the trained RAs as part of the outcome assessment (PI  
143 presence) (Figure 1). The number and location of all PIs and any other changes to skin  
144 integrity signifying a developing area of PIs were recorded. The staging of any PI that

145 occurred was verified by the Wound Management Clinical Nurse Consultant (JR). Time to  
146 event (defined as from time in operating suite until development of PI) was also recorded.

147

### 148 **Sample size calculation**

149 Sample size calculations were based on an assumption of PI incidence of 20% as suggested  
150 by previous studies of high risk surgical patients<sup>13,35</sup>. In consultation with a statistician, a  
151 sample size of 250 was estimated from tables for 95% confidence intervals with a 5% margin  
152 of error. However, the sample size was changed after recruitment of 150 patients and a low  
153 detection of PIs.

154

### 155 **Data analysis**

156 Data were entered and analysed using Statistical Package for Social Sciences, version 23  
157 (SPSS, Chicago, Illinois). Baseline demographics and clinical characteristics, risk status and  
158 processes of care were reported as frequencies and percentages for categorical variables or  
159 means and standard deviations for continuous variables. Incidence was calculated using a  
160 binomial confidence interval (95%). Mean length of time in the operating suite was  
161 calculated from the time the patient entered the surgical unit, including time in the pre-  
162 operative bay and surgery length), to the time the patient was transferred from the operating  
163 suite to either the recovery or ICU. Time in recovery was calculated from the time the patient  
164 entered the recovery unit until transfer to ward.

165

### 166 **ETHICAL APPROVAL**

167 Ethics approval was given by the St Vincent's Hospital Sydney Human Research Ethics  
168 Committee (HREC LNR/15/SVH/137). All patients provided written consent to participate.

169 Patients who declined study participation or were unable to give informed consent were  
170 excluded.

171

## 172 **RESULTS**

173 Two hundred and twenty-three elective surgery patients were assessed for eligibility (Figure  
174 2). Of 206 patients assessed as eligible to participate, 189 consented to take part in the study.  
175 Thirty-nine patients became ineligible following recruitment because their post-operative  
176 length of stay was <48 hours, surgery was cancelled or they were referred to palliative care;  
177 resulting in a final sample of 150.

178

179 Demographic and baseline characteristics of study participants (Table 1) showed the mean  
180 age was 60.6 (SD± 16.7, range 18.1-87.1), with an average body mass index (BMI) of 28.6  
181 (SD ±6.3, range 18.3-56.4) and 63% (n=94) were males. All participants could reposition  
182 independently in bed (100%). The majority were continent (n=145; 97%), could ambulate  
183 (n=131; 87%) and lived independently (n=138; 92%). In terms of physical health status, as  
184 measured by the American Society of Anaesthesiologists (ASA), score, most participants  
185 (45%) had mild systemic disease (ASA 2), and 34% moderate systematic disease (ASA3).  
186 Over 80% of the sample had one or more co-morbidities such as hypertension (n=57; 38%),  
187 cardiovascular disease and heart failure (n= 49; 33%) or respiratory disease (n=30; 20%).

188

189 Intra-operative participant characteristics showed that the most common operations were  
190 neurology (n=38; 25%), orthopaedic (n=28; 19%) and cardiothoracic (n=21; 14%) (Table 1).  
191 The average length of time in the operating suite was 4.5 hours (SD±2.35); almost all  
192 participants received general anaesthetic (n=143; 94%) and over half were placed in a supine  
193 surgical position (n=87; 59%). A third were either transferred directly to ICU from the



194 operating suite or stayed in recovery for less than 2 hours, or between 2 to 4 hours. The  
195 standard hospital operating theatre overlay was used in the majority of participants (71%).

196

### 197 **Pressure injury incidence**

198 One participant was documented as having developed a PI (stage 1) in the left knee during  
199 the intra-operative period. The binomial confidence interval analysis showed the incidence of  
200 PIs was 0.7% (CI 0.0002, 0.037). Four patients (2.6%) had skin tears while in recovery. The  
201 PI and the skin tears resolved within 24 hours post-operatively and no other patient developed  
202 a PI during the study period.

203

### 204 **Processes of care**

#### 205 *PI risk assessment*

206 The numbers of documented PI risk assessments decreased during the patient surgical  
207 journey. Prior to surgery, 80% (n=120) of participants were assessed using the Waterlow  
208 scale; this decreased to 41% (n=62) intra-operatively and 36% (n=54) post-operatively in  
209 recovery (Figure 3). All participants had a Waterlow assessment completed by the RAs at 24  
210 and 48 hours following surgery.

211

212 The graph below shows that a higher proportion of participants were classified as being at  
213 high to very high risk of PI as they progressed along the surgical journey. During the pre-  
214 operative period only 8% (n=10) of the sample were identified as being at high or very high  
215 risk of developing a PI; while at 48 hours post operatively, 59% (n=88) fell into the high to  
216 very high-risk category.

217

218

219 *Post-operative PI preventive strategies and devices*

220 Documented PI preventive strategies (Table 2) for the post-operative period showed that less  
221 than a quarter of participants who were classified as at high or very high-risk of PI, received a  
222 specialty support surface such as an alternating pressure mattress. Just over a half in this risk  
223 category had documentation of a repositioning regime. Over three quarters of the sample  
224 received patient education and almost all had daily skin inspections.

225

226 **DISCUSSION**

227 The purpose of this study was to prospectively investigate the incidence of post-surgical PIs  
228 among elective surgical patients with a minimum hospital stay of 48 hours and to describe the  
229 processes of PI care received. Determining PI incidence, which counts the number of PIs  
230 developing after admission, rather than a snapshot of prevalence, provides the strongest  
231 evidence of quality of care<sup>38</sup>. The findings therefore add to the knowledge about PI quality of  
232 care for surgical patients, particularly those who have a hospital stay of 48 hours, because this  
233 group is generally regarded as being at high risk for developing PIs.

234

235 In our sample the incidence was low, with only one participant developing a PI (Stage 1)  
236 intraoperatively. This was identified and documented in the immediate post-operative period  
237 and resolved within 24 hours after surgery. Four patients developed intra-operative skin tears,  
238 which also resolved within 24 hours. Given that there is mandatory reporting of the  
239 occurrence of PIs in the facility in which the study took place, the likelihood of other PIs in  
240 this sample not being documented is low. While some studies have found higher post-  
241 operative PI incidence rates of up to 27%<sup>34,39-42</sup>, others such as a prospective study of 337  
242 cardiac surgery reported a PI incidence rate of zero (that is, all patients had intact skin at the  
243 time they left the operating theatre)<sup>39</sup>. Our results were comparable to (albeit lower than) an

244 Australian prospective cohort study comprising 534 patients that reported an immediate post-  
245 operative (defined as being within 1 hour of admission to the post anaesthetic care unit) PI  
246 incidence rate of 1.3%<sup>23</sup>.

247

248 Variation in reported incidence across studies may be attributable to the differences in the  
249 time frame between PI occurrence and data collection time during the post-operative period.

250 Since our aim was to identify PIs attributable to surgery, follow-up to 48 hours post-  
251 operatively was selected on the basis that previous research has suggested that the 48 hour  
252 post-operative window is the timeframe within which most PIs due to surgery develop<sup>13,35,43</sup>.  
253 Incidence of PIs outside this timeframe is considered to be attributable to post-surgical care  
254 and not the surgery itself.

255

256 Our surgical patient cohort had risk factors for the development of PIs that had been  
257 previously identified in the literature. These are, lengthy surgeries between 2-6 hours<sup>13,35,44-47</sup>;  
258 multiple comorbidities including diabetes mellitus<sup>48</sup>; and either low or high BMI<sup>17</sup>. In  
259 addition, patients in our study underwent a broad range of surgical procedures including  
260 cardiac surgery which has been identified in the literature as a risk factor for the development  
261 of PIs<sup>39,46, 49</sup>. Several patients in our study, however, had pre-operative characteristics which  
262 may have had a protective effect against the development of PIs and therefore contributed to  
263 the low incidence of PIs observed. Several PI protective factors were reported in a study of  
264 surgical patients, including having healthy skin, being continent, being able to move  
265 independently and being admitted from home<sup>23</sup>. In our study, 87% of patients could ambulate  
266 independently pre-operatively, 97% were continent and 99% were admitted from their own  
267 home with only 1 patient admitted from an aged care facility. Therefore, the sample was

268 relatively healthy. In addition, there were a wide range of pressure relieving devices that were  
269 used intra-operatively in the majority of patients such as pillows, gel mats and head rings.

270

271 Our investigation of documentation of evidence-based PI care throughout the surgical patient  
272 journey indicated variability in processes of care. Gaps in documentation of PI were evident  
273 with Waterlow completion rates for risk assessments in the intra-operative and immediate  
274 post-operative periods as low as 41% and 36% respectively. Such low completion rates could  
275 be due to the fast turn-around of patients and the clinical imperative to quickly transfer  
276 patients to either the recovery or the intensive care unit; thereby making completion of risk  
277 assessment unfeasible. Moreover, this information was collected from the patients' medical  
278 record, which may reflect a documentation issue rather than a lack of assessments performed.  
279 Lack of time by nursing staff has been previously reported to be a barrier to completing  
280 patient documentation, even though accurate, consistent and appropriate documentation is  
281 recognised as a fundamental part of patient care<sup>50</sup> and essential for monitoring changes in PI  
282 risk status throughout the patient admission. Failure to achieve complete documentation at all  
283 time periods means that there is high potential for early identification of skin changes and a  
284 missed opportunity for instituting preventive strategies.

285

286 Only up to 14% of patients classified as being at high and very high- risk of PI were allocated  
287 a pressure-relieving support surface and just over half were documented as having a  
288 repositioning regime. This suggests that improvement is urgently needed in the prescription  
289 of these interventions for high-risk patients<sup>51</sup> especially given that HAPIs are regarded as a  
290 major patient safety issue and that in our sample the numbers classified as being at high risk  
291 increased exponentially from admission to 48 hours post-operatively. However, other  
292 processes of care documented were well performed, irrespective of risk category, such as

293 patient education and daily skin inspections. At the study hospital, a multi-strategy approach  
294 and patient PIP education has been in place since 2011. Patient education has been proven to  
295 be an important component of PI prevention strategies because it provides patients and family  
296 with a degree of ownership for their care<sup>52, 53</sup>. The reasons for strategies that are the  
297 cornerstones of evidence based PIP guidelines, such as allocation of pressure-relieving  
298 devices and recording of a repositioning regime not being done requires investigation.  
299 Another study similarly found that even where formal risk assessment is well-established,  
300 this is not necessarily followed up with appropriate PIP<sup>38</sup>.

301

### 302 **Strengths and limitations**

303 This study had a number of strengths. Our study design was a prospective cohort study which  
304 is the optimal design to study incidence. We used a combination of data collection methods  
305 including medical record documentation for the pre, peri and post-operative periods as well  
306 as direct skin observation and assessment for outcome assessment 24 and 48 hrs after  
307 surgery. To ensure consistency of reporting, RAs were trained in skin assessment, PI staging  
308 and medical record data collection. In addition to capturing PI incidence, this study also  
309 reported evidence-based processes of care along the surgical patient journey.

310

311 Study limitations include firstly, that it was conducted at one large inner-city hospital and the  
312 results may not be generalisable to other health facilities, particularly in rural areas. Secondly,  
313 only elective surgical patients were recruited and these patients may have been healthier than  
314 surgical patients admitted via the emergency department. However, the study sample had  
315 comparable general characteristics to those documented in other studies and was  
316 representative of patients who undergo surgery requiring a 48 hour stay at the study site  
317 facility<sup>13, 23, 34</sup>. Thirdly, we only followed patients for 48 hours post-operatively and it may be

318 that PIs developed after this period, particularly for patients that were identified as being at  
319 very high-risk of developing a PI and it may have been useful to continue to follow up these  
320 patients to observe any PI development. However, it is debatable whether PIs developed  
321 more than two days post-operatively could be directly attributable to the surgical procedure.

322

## 323 **CONCLUSIONS**

324 Nurses along the health care continuum play an important role in preventing the development  
325 of PIs in surgical patients by conducting risk assessments, monitoring skin integrity and  
326 implementing preventive strategies peri-operatively and in the post-operative period until  
327 patients are independent and able to reposition themselves and mobilise. Even where the  
328 incidence of PIs is very low, improvements are needed in terms of documenting and  
329 instituting appropriate PIP for high to very high-risk patients before, during and following  
330 surgery. In particular, an understanding of how nurses interpret and use the information from  
331 PI risk assessments to make decisions about, and for informing a PIP plan for those at high  
332 risk, would be of value for improving practice.

333

## 334 *Authors' contributions*

335 CM coordinated the study and contributed to the design, data collection, data analysis and  
336 manuscript. EM and JR conceived, designed and assisted in coordinating the study. RP  
337 conducted part of the data collection and assisted with coordinating the study and data  
338 analysis. AG and PD conducted the main data analysis. All authors contributed towards,  
339 drafting and revising the paper and approved the final manuscript.

340

## 341 **CONFLICT OF INTEREST**

342 The authors declare no conflicts of interest.

343

344 **FUNDING**

345 This study was funded by a multidisciplinary patient focussed grant provided by the  
346 St Vincent's Clinic Foundation. The funding source had no role in study design, data  
347 collection, analysis, or interpretation of the data.

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478 **Figure legends**

479 **Figure 1: Study Processes**

480 Methods section, Portrait orientation

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482 **Figure 2: Recruitment of study patients**

483 Results section, Portrait orientation

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485 **Figure 3: Waterlow assessment rates pre-operative to 48 hours post-operative (n=150)**

486 Results section, Landscape orientation